

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Schlösser, et al.

Serial No.: Unassigned

Confirmation No.: Unassigned

Filed: Herewith

For: DRAM CELL ARRANGEMENT
WITH VERTICAL MOS
TRANSISTORS, AND METHOD
FOR ITS FABRICATION

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Group Art Unit: Unassigned

Examiner: Unassigned

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE UNDER 37 CFR 1.10	
I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on November 24, 2003 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV335472255US addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<i>Nov. 24, 2003</i> Date	<i>[Signature]</i> Signature

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

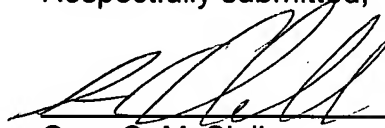
While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/INFN/0037/GGM.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'G. McClellan', is written over a horizontal line.

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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		INFN/0037	Unassigned
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant SCHLÖSSER	Confirmation No.: Unassigned
(Use several sheets if necessary)		Filing Date	Group
	Examiner	Unassigned	Herewith
			Unassigned

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	5,888,864	03/30/99	Koh, <i>et al.</i>	438	253	10/21/97
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						
	A14						
	A15						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	00/55905	09/21/00	WO	H10L	21/8242	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	5-29573	02/05/93	JP (Abst)	H01L	27/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	International Search Report, dated August 13, 2003 for PCT/EP02/05651
	C2	International Preliminary Examination Report, dated October 21, 2003 for PCT/EP02/05651
	C3	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line